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# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

|                        |                    |
|------------------------|--------------------|
| Application Number     | 09/966,410         |
| Filing Date            | SEPTEMBER 28, 2001 |
| First Named Inventor   | MIROSLAV TRAJKOVIC |
| Group Art Unit         | 2173               |
| Examiner Name          |                    |
| Attorney Docket Number | US 010476          |

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These documents may be relevant in that they have been (check one):

- ☐ considered in drafting the specification of the above referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copies required);
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed; or
- ☐ otherwise a concise explanation of the relevance of each document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is append hereto.

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if the date of this IDS may be after the date of a final Office Action - per §1.97(e) check one:

- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago; or
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office in a counterpart foreign application, nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

Please charge any required fee under § 1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

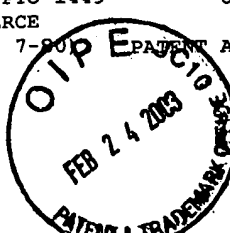
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                   |                                   |         |
|-------------------|-------------------|-----------------------------------|---------|
| Name (Print Type) | GREGORY L. THORNE | Registration No. (Attorney/Agent) | 39,398  |
| Signature         |                   | Date                              | 2/13/03 |

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| Signature         |             |      |         |

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| Form PTO-1449<br>U.S. DEPARTMENT OF<br>COMMERCE<br>(REV. 7-90) PATENT AND TRADEMARK OFFICE  |  | Atty. Docket No.<br>US 010476          |  | Serial No.<br>09/966,410 |  |
| <div style="text-align: center;">  </div> INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary) |  | Applicant<br>MIROSLAV TRAJKOVIC ET AL. |  |                          |  |
|   |  | Filing Date<br>9/28/01                 |  | Group<br>2173            |  |

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| U.S. PATENT DOCUMENTS |    |    |    |    |    |    |                 |      |      |       |           |                        |
|-----------------------|----|----|----|----|----|----|-----------------|------|------|-------|-----------|------------------------|
| Ex. Int.              | AA | AB | AC | AD | AE | AF | Document Number | Date | Name | Class | Sub-class | Filing Date If Approp. |
|                       |    |    |    |    |    |    |                 |      |      |       |           |                        |
|                       |    |    |    |    |    |    |                 |      |      |       |           |                        |
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| FOREIGN PATENT DOCUMENTS |    |    |    |    |    |                 |      |         |       |           |        |    |
|--------------------------|----|----|----|----|----|-----------------|------|---------|-------|-----------|--------|----|
|                          | AG | AH | AI | AJ | AK | Document Number | Date | Country | Class | Sub-class | Trans. |    |
|                          |    |    |    |    |    |                 |      |         |       |           | Yes    | No |
|                          |    |    |    |    |    |                 |      |         |       |           |        |    |
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|                          |    |    |    |    |    |                 |      |         |       |           |        |    |

| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.) |  |   |
|--|--|---|
| AL   |  | Liu et al: "Robust Head Motion Computation by Taking Advantage of Physical Properties" Proc. Workshop On Human Motion, December 2000, pages 73-77   |
| AM   |  | Chen et al: "Head pose estimation using both color and feature information" Pattern Recognition, 2000. Proceedings. 15 <sup>th</sup> International conference On September 3-7, 2000, Los Alamitos, CA, USA, IEEE Comput. SOC. US, September 3, 2000, pages 842-845 |
| AN   |  |   |

|          |                 |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.